

2123
11/15/02
7-25-02

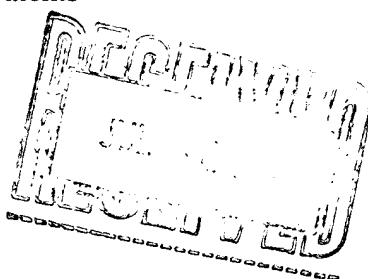
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re application of: William J. Armstrong et al. : Date: July 8, 2002
 Group Art Unit: 2123 : IBM Corporation
 Examiner: Unassigned : Intellectual Property Law
 Serial No.: 09/939,232 : Dept. 917, Bldg. 006-1
 Filed: August 24, 2001 : 3605 Highway 52 North
 Title: SYSTEM FOR YIELDING TO A : Rochester, MN 55901
 PROCESSOR

Assistant Commissioner for Patents
 Washington, D.C. 20231

Dear Sir:



I hereby certify that this correspondence is
 being deposited with the United States Postal
 Service as first class mail in an envelope
 addressed to: Assistant Commissioner for
 Patents, Washington, D.C. 20231, on

July 8, 2002
 (Date of Deposit)

Debra A. Peterson
 Debra A. Peterson

INFORMATION DISCLOSURE STATEMENT

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97. In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. 09/939,232
 Docket No. ROC920000314US1

RECEIVED

JUL 17 2002

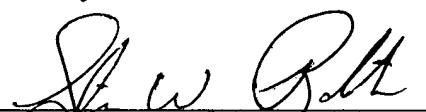
Technology Center 2100

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3). Unless a translation accompanies this Statement, no written English-language translation of any non-English language document, or portion thereof, listed herein, is within the possession custody or control of, or is readily available to any individual designated in 37 C.F.R. 1.56(c).

This Information Disclosure Statement is being filed under 37 C.F.R. 1.97(c) before a final action or notice of allowance. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

Respectfully submitted,

By



Steven W. Roth

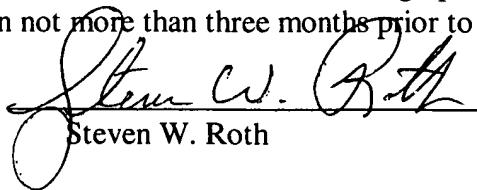
Attorney Reg. No. 34,712

Telephone: 1-507-253-1600

Fax: 1-507-253-2382

CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.



Steven W. Roth

July 8, 2002

Date